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(54) Title: TRANSMIT DIVERSITY METHOD AND SYSTEM
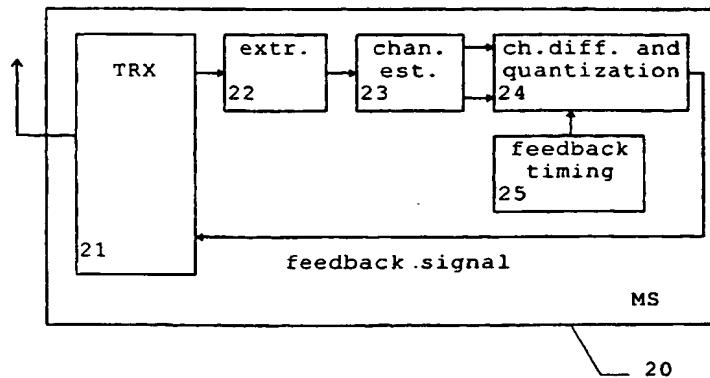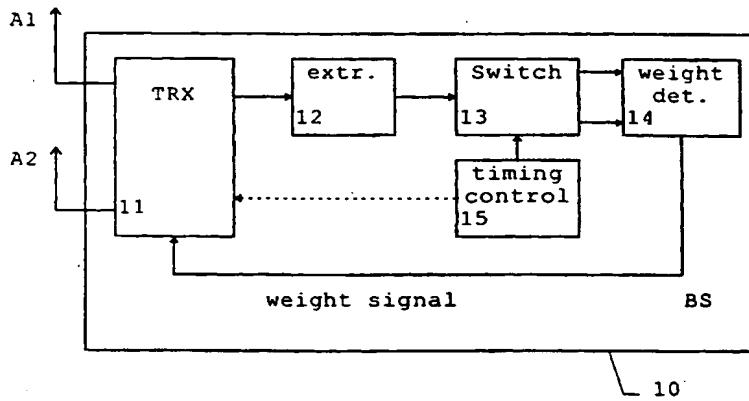
(57) Abstract: The invention relates to a transmit diversity method for a wireless communication system comprising a transmitting element and at least one receiver, wherein a transmission signal is transmitted from the transmitting element to the at least one receiver in accordance with a weight information determined in response to a feedback information. The feedback information is derived from the response at the at least one receiver to the transmission signal, and is fed back using multiplexed feedback signals. Alternatively, the weight information may be determined at the transmitting element by filtering said feedback information and quantizing the filtered feedback information to a desired quantization constellation. Thus, multiple quantization constellations and combinations thereof and/or constellation specific feedback subchannels can be used for channel probing, such that the total feedback resolution can be enhanced, while maintaining low signaling capacity of the feedback channel.

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Transmit diversity method and system

FIELD OF THE INVENTION

5　　The present invention relates to a transmit diversity
method and system for a wireless communication system, such
as the Universal Mobile Telecommunications System (UMTS)
comprising a transmitting element and at least one
receiver.

10

BACKGROUND OF THE INVENTION

Wideband Code Division Multiple Access (WCDMA) has been
chosen as the radio technology for the paired bands of the

15　　UMTS. Consequently, WCDMA is the common radio technology
standard for third-generation wide-area mobile communica-
tions. WCDMA has been designed for high-speed data services
and, more particularly, Internet-based packet-data offering
up to 2Mbps in indoor environments and over

20　　384 kbps for wide-area.

The WCDMA concept is based on a new channel structure for
all layers built on technologies such as packet-data
channels and service multiplexing. The new concept also

25　　includes pilot symbols and a time-slotted structure which
has led to the provision of adaptive antenna arrays which
direct antenna beams at users to provide maximum range and
minimum interference. This is also crucial when
implementing wideband technology where limited radio

30　　spectrum is available.

The uplink capacity of the proposed WCDMA systems can be
enhanced by various techniques including multi-antenna
reception and multi-user detection or interference

35　　cancellation. Techniques that increase the downlink

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capacity have not been developed with the same intensity.
However, the capacity demand imposed by the projected data
services (e.g. Internet) burdens more heavily the downlink
channel. Hence, it is important to find techniques that
5   improve the capacity of the downlink channel.

Bearing in mind the strict complexity requirements of
terminals, and the characteristics of the downlink channel,
the provision of multiple receive antennas is not a desired
10   solution to the downlink capacity problem. Therefore,
alternative solutions have been proposed suggesting that
multiple antennas or transmit diversity at the base station
will increase downlink capacity with only minor increase of
complexity in terminal implementation.
15
According to the WCDMA system, a transmit diversity concept
is under consideration which is mainly focused on the
closed-loop (feedback) mode.

20   Fig. 1 shows an example of such a feedback mode for a
downlink transmission between a base station (BS) 10 and a
mobile terminal or mobile station (MS) 20. In particular,
the BS 10 comprises two antennas A1 and A2, and the MS 20
is arranged to estimate the channel on the basis of two
25   transmission signals received from the two antennas A1 and
A2. Then, the MS 20 feeds back the discretized channel
estimate to the BS 10. The antennas (or antenna elements)
A1 and A2 are spaced sufficiently close to each other, so
that the propagation delays between each of the antennas A1
30   and A2 and the MS 20 are approximately identical (within a
fraction of a duration of a chip of the WCDMA spreading
code). This is important in order to maintain downlink
orthogonality in a single-path channel. Naturally, it is
desired to develop a robust and low-delay feedback
35   signaling concept.

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In WCDMA, different modes have been suggested for the
closed-loop concept which is optimized for two antennas. In
the Selective Transmit Diversity (STD) mode, one bit per
5   time slot is used to signal the "best" antenna from each
terminal. The MS 20 estimates channel coefficients from
common pilot signals (antenna or beam specific), selects
the stronger antenna (two possibilities), and sends the
index to the BS 10 using a 1.5 kbps subchannel. Thus, a
10   simple dedicated channel estimate can be derived from
continuous common channel estimates. In the STD mode, the
bit length of the feedback signaling word is one bit. The
feedback bit rate is 1500 bps and the feedback signaling
word is used for controlling the power supplied to the
15   antennas A1 and A2.

Furthermore, modes 1 and 2 (referred to as Transmission
Antenna Array (TxAA) modes) are suggested with a slower
feedback link, where feedback weights used for controlling
20   power and/or phase of the transmission signals of the
antennas A1 and A2 are modified after a certain number of
slots. In particular, a quantized feedback is signaled to
the BS 10 using the 1.5 kbps subchannel. In mode 1, the
possible Tx feedback weights are selected from a QPSK
25   constellation. In mode 2, the possible Tx feedback weights
are selected from a 16-state constellation.

Fig. 2 shows a table indicating characteristic parameters
of the above modes. In particular, N_{FB} designates the
30   number of feedback bits per time slot, N_W the number of
bits per feedback signaling word, Na the number of feedback
bits for controlling an amplification or power at the
antennas A1 and A2, and Np the number of feedback bits for
controlling a phase difference between the antennas A1 and

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A2. As can be gathered from the table of Fig. 2, one bit is
fed back per time slot in each of the feedback modes.

5   In the Tx AA mode 1, the feedback signaling word comprises
two bits, and an update is performed after both feedback
bits have been received, i.e. after two time slots. The
feedback signaling word is only used for controlling the
phase difference between the two antennas A1 and A2.

10   In the Tx AA mode 2, the bit length of the feedback
signaling word is four, and an update is performed every
four time slots. In particular, one bit of the feedback
signaling word is used for controlling the amplification
(power) at the antennas A1 and A2, and three bits are used
15   for controlling their phase difference.

Fig. 3A shows a table indicating the feedback power control
performed in the STD mode. Here, the MS 20 has to estimate
the antenna with the smallest path loss. To this effect,
20   the MS 20 estimates the channel power of all "competing
antennas", and determines the one with the highest power.
The required channel estimates are obtained e.g. from a
common pilot channel transmitted with a known power from
each antenna. The table in Fig. 3A shows the relationship
25   between the feedback value and the power P_{A1} supplied to
the antenna A1 and the power P_{A2} supplied to the antenna
A2. Accordingly, one of the two antennas A1 and A2 is
selected at the BS 10 in response to the feedback signaling
value.

30

It is to be noted that the STD mode may be implemented in
an analogous manner in the beam domain. In this case, the
MS 20 signals to the BS 10 whether to rotate channel
symbols transmitted from the antenna A2 by 180°. In this
35   case, the BS 10 transmits simultaneously from both antennas

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A1 and A2. Thus, the phase difference between the antennas
A1 and A2 is switched between 0° and 180° in response to
the feedback value.

5   In the TxAA modes 1 and 2, the MS 20 transmits estimated
and quantized channel parameters to the BS 10 which then
weights the transmitted signals accordingly. Thus, a higher
resolution than 180° (as provided by the STD mode) can be
achieved. The MS 20 selects the Tx weight (or Tx beam) from
10   4 or 16 different constellations, respectively.

Fig. 3B shows the feedback control performed in the TxAA
mode 1, where only a phase weight feedback value comprising.
two bits is fed back to the BS 10. The phase difference
15   indicated in the table of Fig. 3B defines the phase
difference (in degree) between the antennas A1 and A2,
which is to be established by the BS 10 in order to obtain
an optimum coherence at the MS 20.

20   Fig. 3C shows the feedback control of the TxAA mode 2,
wherein one bit, i.e. amplification bit, of the feedback
signaling word is used for controlling the power of the
antennas A1 and A2, and the other three bits, i.e. phase
bits, are used for controlling the phase difference between
25   the antennas A1 and A2. The left-hand table indicates the
power control based on the amplification bit, wherein the
power P_{A1} and P_{A2} supplied to the antennas A1 and A2,
respectively, is switched between 20% and 80% of a
predetermined value. The right-hand table shows the
30   feedback control based on the three phase bits, wherein the
phase difference can be quantified into eight different
phase difference values to be established by the BS 10 in
order to obtain an optimum coherence in the MS 20.

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As regards the table of Fig. 2, it is to be noted that an
equal power is applied to the antennas A1 and A2 in each
case where Na = 0. Furthermore, the antennas A1 and A2 are
uniquely defined by their respective pilot codes of the
5   CCPCH (Common Control Physical Channel) of the UMTS. The
derived amplitude and phase applied to the antennas A1 and
A2 is called a weight and the set of weights is grouped
into a weight vector. Specifically, the weight vector for
the present case of two antennas is given by

10

$$\underline{w} = \left[\frac{\sqrt{PA1}}{\sqrt{PA2} \cdot \exp(i\pi\Delta\varphi / 180)} \right]$$

wherein $\Delta\varphi$ denotes the phase difference (phase weight) fed
back to the BS 10. In case the dimension of $\underline{w}$ becomes
15   larger than two, more than two antennas, i.e. an antenna
array, are required. As an example, a directional antenna
may be achieved by using relative phases between antennas.
The estimated phase of the feedback signal in the complex
plane is then used for controlling the transmit direction.
20   With a coherent array, the relative phase difference is the
same between neighboring antenna elements.

Hence, the current WCDMA transmit diversity feedback
concept uses a 2, 4 or 8 phase constellation to signal the
25   channel difference to the BS 10. However, the higher
channel resolution provided by a higher constellation order
is obtained at the expense of feedback signaling capacity
or delay. Thus, the resolution of the feedback signaling is
limited by the feedback signaling capacity. Furthermore,
30   the current concepts impose a delay of one or more slots in
executing the weight change and this restricts
applicability only to very slow fading channels. Also, the
concepts may be sensitive to feedback errors.

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SUMMARY OF THE INVENTION

It is therefore an object of the present invention to
provide a method and system for transmit diversity or
transmit beamforming, by means of which the resolution of
the feedback signaling can be increased without increasing
the feedback signaling capacity.

This object is achieved by a transmit diversity method for
a wireless communication system comprising a transmitting
element and at least one receiver, said method comprising
the steps of:
transmitting from said transmitting element to said at
least one receiver a transmission signal in accordance with
a weight information determined in response to a feedback
information;
deriving said feedback information from the response at
said at least one receiver to said transmission signal;
feeding back said feedback information using multiplexed
feedback signals.

Additionally, the above object is achieved by a transmit
diversity system for a wireless communication system,
comprising:
transmitting means for transmitting a transmission signal
from a transmitting element in accordance with a weight
information determined in response to a feedback
information; and
at least one receiver for receiving said transmission
signal and deriving said feedback information from the
response to said transmission signal;
wherein said at least one receiver comprises a feedback
means for feeding back said feedback information using
multiplexed feedback signals.

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Furthermore, the above object is achieved by a transmitter
for a wireless communication system, comprising:
extracting means for extracting a feedback information from
5   a received signal;
transmitting means for transmitting a transmission signal
from a transmitting element in accordance with a weight
information;
determining means for determining the weight information in
10   response to the extracted feedback information; and
control means for controlling the determining means so as
to determine said weight information in accordance with
multiplexed feedback signals used for feeding back said
feedback information.

15

Moreover, the above object is achieved by a receiver for a
wireless communication system, comprising:
receiving means for receiving a transmission signal;
deriving means for deriving a feedback information from the
20   response to said transmission signal; and
feedback means for feeding back said feedback information
using multiplexed feedback signals.

Accordingly, the transmit resolution can be enhanced by
25   maintaining the feedback channel resolution and capacity
signaled from the receiver and performing a suitable
feedback filtering at the transmitter in accordance with
the time-varying feedback signal constellation and the
quantization constellation at the terminal. Thereby, the
30   effective resolution of the total feedback signaling can be
improved while maintaining the signaling channel capacity,
since the feedback information can be divided and spread
over different sets of time slots, e.g. in accordance with
the time-varying signal constellation or by using multiple
35   different constellations. The filtering is applied to at

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least two subchannels. The transmitting signal may comprise
a probing signal used for channel measurements and channel
quantization and an information transmitted via the
dedicated channel on the basis of the transmit weights.

5

According to the invention, multiplexed feedback signals
can be used for representing the quantized state of the
channel. Thereby, the type, coding, partitioning or
allocation of the feedback signals may differ in different

10 multiplex subchannels defined by a time division, frequency
division, or code division multiplexing scheme.

Thus, the weights applied to the antennas A1 and A2 can be
demultiplexed from the feedback channel and need not be

15 identical with the feedback signaling of the current time
slot received from the receiver. In particular, a multiplex
timing can be arranged such that the current feedback modes
still can be established. Each subchannel may independently
define a basic resolution, and the subchannels may jointly

20 define an increased resolution. According to the invention,
at least two feedback subchannels are used. The multiplexed
feedback signals are demultiplexed at the transmitting
element and then filtered in order to obtain the desired
transmit weights. After filtering, the estimated weight may

25 be quantized to the Tx weight constellation. Thus, a
flexible feedback concept is achieved, in which the
transmit weights are derived from the feedback signals but
need not match them exactly.

30 Furthermore, a higher transmit weight resolution and
robustness can be achieved e.g. by multiplexing different
feedback signals which are to be combined in a suitable
way, e.g. by a Finite Impulse Response (FIR) filtering or
an Infinite Impulse Response (IIR) filtering, at the

35 transmitter. The filtering can also take into account the

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reliability of the received feedback signals. Then, the
filter can determine the weights based on a higher
weighting of the reliable feedback signals. Therefore, the
present TxAA mode 2 resolution can be achieved, since it
5   can be established on the basis of e.g. the present TxAA
mode 1 by multiplexing two different feedback signals and
filtering them suitably. In this case, the feedback
signaling and the channel estimation can be maintained,
while slightly changing the feedback signal determination.
10   However, no changes are required to the common channels.

The length of the filter impulse response should be matched
to the channel characteristics (e.g. Doppler spread or
autocorrelation) in the sense that longer filters can be
15   used when channel changes are slow. The type of filter can
be determined from the received signal or it can be
negotiated between the transmitter and the receiver.
Furthermore, the demultiplexing and subsequent filtering
can be performed on the feedback signal or on the transmit
20   weights to which the feedback signals correspond, or both.
In particular, gain and phase information can be filtered
separately or jointly. To reduce delay and increase weight
accuracy, the filter can operate as a predictor, so that
transmit weights can be predicted based on the available
25   smoothed information until the command is transmitted,
current weights and/or previous weights and/or received
feedback commands. In addition, the filtering can be linear
or non-linear. Furthermore, a robust filtering, e.g. using
a median filtering, can be applied, which is preferred,
30   since feedback errors may cause "outliers" weights, i.e.
erroneous weights due to a wrong index rather than an
estimation error in determining the index/quantization.

Hence, the channel is quantized to a plurality of feedback
35   signal quantization constellations, and each quantized

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value is transmitted via a different multiplexed feedback
subchannel. Thereby, a user may use different channel
quantization constellations at different quantization
intervals which may possibly overlap. The different

5   quantization constellations may be independent, e.g.
suitable rotations of each other, or may be formed in a
dependent or hierarchical manner by a set partitioning,
wherein the dependent constellations are jointly used to
define the feedback signal with increasing accuracy (e.g.

10   the first two bits transmitted in a first subchannel may
designate a weight quadrant, and the third bit transmitted
in a second subchannel may specify one of two weight points
within the weight quadrant). Furthermore, different
quantization constellations can be provided for different

15   users.

Preferably, the multiplexed feedback signals may comprise a
first feedback signal having a first constellation and a
second feedback signal having a second constellation. The

20   first and second feedback signals may be transmitted in
different time slots and/or by using different codes.

The first feedback signal may define a first phase weight
determined on the basis of a channel estimate, and the

25   second feedback signal may define a second phase weight
determined on the basis of a rotated constellation. In
particular, the second phase weight may be based on a
rotated channel estimate of the same constellation, or on a
rotated channel estimate of another constellation, or on

30   the basis of a quantization of the channel estimate to the
second (rotated) constellation. The first and second
feedback signals may be fed back in successive time slots.
Moreover, the first feedback signal may define a real part
of the weight information, and the second feedback signal

35   may define an imaginary part of the weight information.

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Alternatively, the first feedback signal may define a first
feedback information to be used for updating a first beam
of the transmitting element, and the second feedback signal
5   may define a second feedback information to be used for
updating a second beam of the transmitting element. In this
case, the first feedback signal can be fed back during odd
time slots and the second feedback signal during even time
slots. The odd and even time slots may be used for
10   controlling the same antenna (when the channel difference
is used) or a first antenna and a second antenna,
respectively, in different time instants. In the latter
case, the first and second antennas are alternately used as
a reference. Controlling both antennas, e.g. by
15   transmitting control commands in an alternate manner to the
transmitting element, is preferred in cases where the
effective transmitting power of the controlled antenna can
be reduced by the filtering. When both antennas are
generally controlled, the effective transmitting power is
20   distribuited evenly and this simplifies the designs of a
provided power amplifier. Another possible solution is to
use transmit diversity techniques where different users may
control different antennas.

25   Furthermore, the first feedback signal may define a
quadrant in a 4-PSK constellation, and the second feedback
signal may define a constellation within said quadrant
defined by said first feedback signal. The second feedback
signal may define a differential change, a Gray-encoded
30   sub-quadrant, or a combination thereof.
The multiplexed feedback signals may be transmitted by at
least two users having different feedback signal
constellations. Thereby, a flexible and readily adaptable
transmit diversity system can be achieved. The at least two
35   users may comprise a first set of users controlling weights

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at a first antenna of the transmitting element, and a
second set of users controlling weights at a second antenna
of said transmitting element. In this case, a useful
balancing of the transmitting power between the first and
5   second antennas can be provided, since some filtering or
demultiplexing techniques may result in lower transmission
power requirements at the controlled antenna.

Furthermore, the control means provided in the transmitter
10 may comprise a switching means for alternately switching
the first feedback signal and the second feedback signal to
the determining means. The determining means may be
arranged to derive the weight information from the first
and second feedback signal.

15

Moreover, the control means may be arranged to control the
transmitting means so as to alternately update a first beam
of the transmitting element by using a first weight
information determined on the basis of the first feedback
20 signal, and a second beam of the transmitting element by
using a second weight information determined on the basis
of the second feedback signal.

The transmitting element may be an antenna array. In this
25 case, the feedback information can be used for controlling
the direction of transmission of the array antenna. The
transmission direction may be derived from at least one of
the multiplexed feedback signals. Furthermore, the
transmission direction may be derived from a phase estimate
30 obtained from at least one feedback signal.

Furthermore, the deriving means of the receiver may
comprise extracting means for extracting a probing signal
transmitted with a known power, channel estimation means
35 for performing a channel estimation on the basis of the

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extracted probing signal, and generating means for generating the multiplexed feedback signals on the basis of the channel estimation. The generating means may be arranged to generate the first and second feedback signal,

5   wherein the feedback means may be arranged to feed back the first and second feedback signals as the multiplexed feedback signals. The first and second feedback signals may be fed back alternately by the feedback means, wherein a quantization of the feedback information is based on the

10 latest channel estimate and an available one of the first and second constellation.

Moreover, the generating means may be arranged to generate the first feedback signal based on the channel estimate and

15 the second feedback signal based on a rotation of the channel estimate by a predetermined angle. This can be implemented also by quantizing the same channel estimate to two constellations where, in this case, the second one is a rotated copy of the first one.

20

Alternatively, the generating means may be arranged to generate the first feedback signal based on a real part of the feedback information, and the second feedback signal based on an imaginary part of the feedback information.

25

As a further alternative, extracting means may be arranged to alternately extract a probing signal corresponding to a first beam and a probing signal corresponding to a second beam, and the generating means may be arranged to

30 alternately generate the first feedback signal based on a channel estimate for the first beam, and the second feedback signal based on a channel estimate for the second beam.

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Furthermore, the transmit weight information may be determined by quantizing the filtered feedback information to a desired quantization constellation. In this case, the filtered feedback information may comprise four

5   constellation points or states and the quantization constellation may comprise e.g. eight or sixteen constellation points or states. The feedback signal filtering operation may be performed by a moving average filter of a length of N samples, wherein N is larger than

10   the number of said multiplexed feedback signals. Thus, the transmit weight constellation can be enhanced by using a subsequent quantization to a desired constellation with more states.

15   Furthermore, the above object is achieved by a transmit diversity method for a wireless communication system comprising a transmitting element and at least one receiver, said method comprising the steps of: transmitting from said transmitting element to said at

20   least one receiver a transmission signal in accordance with a weight information determined in response to a feedback information; deriving said feedback information from the response at said at least one receiver to said transmission signal;

25   feeding back said feedback information to said transmitting element; and determining said weight information by filtering said feedback information and quantizing the filtered feedback information to a desired quantization constellation.

30

Additionally, the above object is achieved by a transmitter for a wireless communication system, comprising: extracting means for extracting a feedback information from a received signal;

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transmitting means for transmitting a transmission signal
from a transmitting element in accordance with a weight
information;

determining means for determining said weight information
5   in response to said extracted feedback information; and
control means for filtering said extracted feedback
information, and for quantizing the filtered feedback
information to a desired quantization constellation.

10   Accordingly, the transmit weight constellation can be
enhanced in a certain feedback mode by performing a
subsequent quantization of the filtered feedback signal,
whereas the capacity of the feedback channel is maintained.
Thus, the user terminal or mobile station does not need to
15   know which constellation is used. Thereby, the quantization
constellation at the receiver may differ from the transmit
constellation. The transmit constellation may change due to
power amplifier loading, e.g. so that only perfectly power
balanced weights are used in a given slot (thereby
20   neglecting gain signaling in the 16-state constellation).

Preferably, the control means comprises a moving average
filter for performing the feedback signal filtering
operation.
25

BRIEF DESCRIPTION OF THE DRAWINGS

In the following, the present invention will be described
30   in greater detail on the basis of a preferred embodiment
with reference to the accompanying drawings, in which:

Fig. 1 shows a principle block diagram of a closed-loop
transmit diversity system comprising a base station and a
35   mobile station,

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Fig. 2 shows a table indicating characteristic parameters
of the STD and TxAA modes,

5   Figs. 3A to 3C show tables indicating characteristic
parameters relating to the feedback control of the STD and
TxAA modes, respectively,

Fig. 4 shows tables indicating characteristic parameters of
10   the transmit diversity concept according to a first example
of the preferred embodiment of the present invention,

Fig. 5 shows a principle block diagram of a base station
and a mobile station according to the preferred embodiment
15   of the present invention,

Fig. 6 shows a diagram of complex weight parameters
according to the first example of the preferred embodiment,

20   Fig. 7 shows tables indicating characteristic parameters of
the transmit diversity concept according to a second
example of the preferred embodiment,

Fig. 8 shows a diagram of complex weight parameters
25   according to the second example of the preferred
embodiment.

DESCRIPTION OF THE PREFERRED EMBODIMENT

30   In the following, the preferred embodiment of the method
and system according to the present invention will be
described on the basis of a connection between the BS 10
and the MS 20 of the UMTS, as shown in Fig. 1.

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According to the preferred embodiment of the present
invention, the feedback information is transmitted from the
MS 20 to the BS 10 using a feedback concept based on time
multiplexing. This means that the quantization

5   constellation used in deriving the feedback signals is
changed and signaled to the BS 10 in different time slots.
However, any other multiplex scheme such as frequency
multiplexing or code multiplexing may be used as well in
the feedback channel.

10

In particular, the feedback signal constellation may be
changed with respect to the coding, type, partitioning or
allocation of the feedback information. Thus, with the
present time multiplexed feedback subchannels, the

15   signaling capacity required in the feedback channel can be
maintained, while the feedback information as such is
spread over the time axes, i.e. transmitted in two or more
(sets of) time slots which may be allocated according to a
predefined rule, known to both the BS 10 and the MS 20.

20

In the following, examples of the preferred embodiment are
described with reference to Figs. 4 to 8, wherein the
feedback information is spread over successive time slots.

25   Fig. 4 shows two tables indicating a refined TxAA mode 1
concept. According to this example, two reference channels,
i.e. for the channel estimate and a rotated channel
estimate, are used in the MS 20 in order to derive the
feedback information. Thereby, an 8-phase signaling can be

30   implemented by using the TxAA mode 1 feedback signaling,
i.e. two feedback bits. In particular, a first feedback
information relating to the channel estimate is transmitted
in two successive time slots, and a second feedback
information relating to the rotated channel estimate is

35   transmitted in the following two successive time slots.

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Thus, the whole feedback information is transmitted in four successive time slots. Accordingly, the phase difference relating to the channel estimate is transmitted in slots S1 = {1, 2, 5, 6, 9, 10, ...} defining a first feedback

5   subchannel, and the phase difference quantized to the rotated constellation is transmitted in slots S2 = {3, 4, 7, 8, 11, 12, ...} defining a second feedback subchannel, wherein the rotated channel estimate relates to a 45° rotated channel estimate, assuming a 4-phase constellation

10   is used.

Thus, the effective phase differences for the phase bits transmitted in the slots S1 is indicated by the upper table of Fig. 4, and the phase difference defined by the phase

15   bits transmitted in the slots S2 is indicated in the lower table of Fig. 4. Accordingly, the phase difference can be quantized into 8 values while using only two bits of feedback information at a time, as in the TxAA mode 1. The resulting feedback resolution obtained by a filtering or

20   demultiplexing operation at the BS 10 corresponds to a 16-state feedback mode, with the exception that a constant power is used for each of the antennas A1 and A2. Thus, the feedback resolution can be increased while maintaining the feedback signaling capacity of the TxAA mode 1.

25

Fig. 5 shows a principle block diagram of the MS 20 and the BS 10 according to the preferred embodiment of the present invention.

30   According to the Fig. 5, the BS 10 comprises a transceiver (TRX) 11 arranged for feeding the two antennas A1 and A2 and connected to an extracting unit 12 provided for extracting the feedback information transmitted from the MS 20 via the corresponding feedback channel(s). The extracted

35   feedback information is supplied to a switch 13 which is

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controlled by a timing control unit 15 in accordance with
the timing scheme underlying the multiplex scheme of the
feedback signal constellation used by the MS 20. Thereby, a
demultiplexing or filtering function for extracting the
5   feedback information is provided. In the present example,
the switch 13 is controlled by the timing control unit 15
so as to supply the feedback information relating to the
slots S1 to one of its output terminals and the feedback
information transmitted in the slots S2 to the other one of
10   its output terminals.

It is noted that the above demultiplexing or filtering
function may alternatively be achieved by providing filter ·
and demodulating unit or a decoding unit, in case a
15   frequency or, respectively, code multiplex scheme is used.

The output terminals of the switch 13 are connected to
respective input terminals of a weight determination unit
14 which determines a weight signal on the basis of the
20   tables shown in Fig. 4. In particular, the weight
determination unit 14 determines the required phase
difference between the antennas A1 and A2 by averaging the ·
feedback information of the two slot types S1 and S2
received via the respective input terminals. However, any
25   other combination of the two feedback informations may be
provided.

The determined weight signal, e.g. phase difference, is
supplied to the TRX 11 which performs a corresponding phase
30   control of the antennas A1 and A2 to thereby establish the
required phase difference leading to an optimum coherence
of the transmission signals in the MS 20.

The MS 20 comprises a transceiver (TRX) 21 for receiving
35   the transmission signals from the antennas A1 and A2 of the

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BS 10 via an antenna connected thereto. Furthermore, the
TRX 21 is connected to an extracting unit 22 provided for
extracting the pilot channel signal and supplying the
extracted pilot channel signal to a channel estimation unit
5   23 which calculates the required channel estimates. In
WCDMA systems, rather accurate channel estimates can be
obtained by using the common channel pilots (CPICH)
transmitted continuously from the two antennas A1 and A2
using a specific orthogonal spreading code. In particular,
10   the channel estimation unit 23 is arranged to calculate the
channel estimate and the rotated channel estimate both
corresponding to the received pilot channel signal. The
channel estimation unit 23 outputs the two channel
estimates at respective output terminals thereof which are
15   connected to corresponding input terminals of a channel
difference deriving and quantization unit 24 for deriving a
phase difference based on the channel estimate and the
rotated channel estimate obtained from the channel
estimation unit 23 and performing a corresponding
20   quantization. As already mentioned, the rotated channel
estimate is obtained by rotating the channel estimate by an
angle of 45°.

Furthermore, a feedback timing unit 25 is provided which
25   controls the phase difference deriving and quantization
unit 24 so as to output one of the phase differences
derived from the channel estimate and the rotated channel
estimate in accordance with the predetermined feedback
timing. In the present case, the phase difference
30   corresponding to the channel estimate, i.e. conventional
TxAA mode 1, is outputted during the time slots S1, and the
phase difference corresponding to the rotated channel
estimate is outputted during the time slots S2. The phase
differences are supplied as a multiplexed feedback signal

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to the TRX 21 in order to be transmitted via the
corresponding feedback channel to the BS 10.

It is to be noted that the transmit diversity concept
5   according to the first example of the preferred embodiment
is compatible with the known TxAA mode 1, in case the BS 10
assumes each feedback information as derived only from the
channel estimate which has not been rotated, i.e. the known
BS 10 controlled according to the TxAA mode 1.

10

In case a frequency or code multiplex feedback scheme is
used, the feedback timing unit 25 may be replaced by a
modulating unit or, respectively, a coding unit.

15   Fig. 6 shows a diagram of the complex weights or end points
of the weight vectors used as the feedback information in
the first example of the preferred embodiment. In
particular, the circles in the diagram of Fig. 6 indicate
the weights obtained in the slots S1, i.e. the weight of
20   the conventional TxAA mode 1, and the crosses indicate the
additional weights obtained in the time slots S2. Thus, a
phase difference quantization as provided in the TxAA mode
2 can be obtained without increasing the feedback channel
signaling capacity.

25

Fig. 7 shows a second example of the preferred embodiment,
wherein the feedback resolution of the TxAA mode 1 is
obtained while using only a single feedback bit. Thus, this
example relates to a refined STD mode. In particular, the
30   MS 20 performs a continuous measurement or channel
estimation, e.g. on the basis of a sliding window, and the
phase difference deriving unit 24 quantizes the phase
difference in accordance with the TxAA mode 1 phase
constellation. In the present case, the feedback bits for
35   the real and imaginary part of the complex weight,

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determined by the phase difference, are transmitted in
successive slots, e.g. the real part bit in the odd slots
used as a first feedback subchannel and the imaginary part
bit in the even slots used as a second subchannel. A

5   corresponding control is performed by the feedback timing
unit 25 of the MS 20.

Correspondingly, the timing control unit 15 of the BS 10
controls the switch 13 so as to supply the successive real

10 and imaginary part of the feedback information to
respective input terminals of the weight determination unit
14 which determines the corresponding weight signal
supplied to the TRX 11 in order to establish the required   -
phase difference.

15
In case the BS 10 is not controlled in accordance with this
time control scheme, i.e. the current STD mode is used, the
conventional control is obtained. If the new timing control
is provided, the weight determination unit 14 averages over

20 two slots and changes the weight signal correspondingly.

Thus, a four state resolution is obtained with an STD mode
feedback capacity. Moreover, a weight verification can be
incorporated separately for the successive bits, which

25 corresponds to the STD concept.

Thus, as can be gathered from Fig. 7, the feedback
information provided in the odd slots S_{odd} indicates a
phase difference of 0° or 180°, and the feedback

30 information provided in the even slots S_{even} indicates a
phase difference of -90° or +90°.

Fig. 8 shows a diagram of the complex weights which can be
fed back in each slot of the second example of the

35 preferred embodiment, wherein the crosses indicate the

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weight information transmitted in the slots S_{even} and the
circles indicate the weights transmitted in the slots S_{odd}.

In the above second example, the channel estimation unit 23
5   of the MS 20 is arranged to determine channel estimates
using the common pilot channel (CPICH). A phasor $exp(i\hat{\Phi})$
($\Phi = \pi\Delta\varphi/180$) which indicates the phase of the complex
weight is then determined by the channel difference
deriving and quantization unit 24 and is quantized to the
10   constellations indicated in Fig. 7, i.e. $S_1=\{1, -1\}$ (for
odd slots) and $S_2=\{i, -i\}$ (for even slots). The
corresponding feedback messages (phase bits) are "0" and
"1", wherein "0" designates that the first constellation
point is closer to the phasor. Similarly, the feedback
15   message "1" designates that the second constellation point
is closer to the phasor. As already mentioned, the use of
the above two reference constellations results in a concept
where a terminal (MS 20) signals the imaginary part and the
real part of the most recent estimate of the downlink
20   channel in successive slots (or in two subchannels).

In the second example, the BS 10 may filter (average) the
feedback weights/phases in two consecutive slots while
maintaining the transmitted power the same in both antennas
25   A1 and A2. Then, the resulting weight constellation has
four states (similar to QPSK (Quadrature Phase Shift
Keying)). Averaging introduces half a slot delay to the
output so that the total signaling delay becomes one and a
half slots. The overall control delay is thus reduced half
30   a slot when compared to a concept where the weight is
applied only after the complete feedback word has been
received.

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Since there are only four possible weights, the dedicated
channel pilots (and channel estimate) can be used
effectively in order to verify which one of the weights was
in fact transmitted. Once the weight is known, the received
5   channel vector between the diversity antenna and the
terminal can be obtained on the basis of a multiplication
of the weight and the channel estimate determined from the
common channel. Thus, the verification allows to use the
continuous common channel estimate in maximal ratio
10   combining.

According to a third example of the preferred embodiment, a
beam diversity concept can be adopted by the feedback
scheme in order to provide an enhanced robustness against
15   erroneous signaling. In the third example, it is assumed
that a space time coding (STTD) is used at the MS 20,
wherein encoded channel symbols are divided into two-
element blocks and transmitted as b[2n], b[2n+1] and
-b*[2n+1], b*[2n] from the antennas A1 and A2,
20   respectively, during time instants 2n and 2n+1 using the
same spreading code. This simple symbol level orthogonal
coding scheme doubles the time diversity, wherein the
receiver uses a simple linear decoding to detect the
transmitted symbols. In the present case, two weight
25   vectors are used, which are a function of the received
signaling. In case of the STD mode feedback signaling, the
following processing is performed.

Two beams B1 and B2 are transmitted by the antennas A1 and
30   A2 of the BS 10 in each time slot. The update rate of the
beams B1 and B2 is 800 Hz, i.e. the TRX 11 is updated every
other time slot. In particular, the beam B1 is modified
during odd slots and the beam B2 during even slots, where
each weight modification is effective over two time slots,
35   i.e. a sliding window weight change is provided. Hence, the

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extracting unit 22 of the MS 20 is arranged to extract the
corresponding probing or pilot signals received from the
the beams B1 and B2, and to successively supply them to the
channel estimation unit 23. Then, the feedback timing unit
5   25 controls the phase difference deriving unit 24 so as to
output the respective phase differences at timings
corresponding to their allocated time slots.

It is to be noted that the filtering function provided by
10   the switch unit (or filter unit) 13 and the timing control
unit 15 of the BS 10 is not required in the present case,
if the TRX 11 is arranged to determine and correspondingly
allocate successively received weight signals to their
respective beams B1 or B2. However, if this is not the
15   case, the timing control unit 15 controls the switch unit
13 so as to switch the weight signal of the beam B1
(transmitted in an odd slot) to one of its output terminals
and the weight signal of the beam B2 (transmitted in an
even slot) to the other output terminal and the weight
20   determination unit 14 determines the corresponding weight
signal. In addition, the timing control unit 15 is arranged
to control the TRX 11 so as to allocate the received weight
signal to the corresponding one of the beams B1 and B2.
This control feature is indicated by the broken error shown
25   in the block diagram of the BS 10 of Fig. 5.

The quantization and signaling concept in the initially
described known STD mode which does not comprise any
filtering of the feedback signal at the BS 10 enables only
30   a crude beamforming with 180 degree effective weight
resolution.

In the above described second example of the preferred
embodiment, the filtering (or averaging) of two successive
35   feedback bits (i.e. real and imaginary part) increases the

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number of states to four and imposes memory to the transmit weights.

According to another filtering approach, the weight
5 resolution can be further improved by increasing the number of states in the weight constellation. Preferably, a single feedback mode can be obtained, which blindly adapts the transmission weights (constellation) to a given channel.

10 The blind processing considered here is based on the fact that the received uplink signal has the same average characteristic as the downlink signal, although the channels are not reciprocal in such FDD (Frequency Division Duplex) systems. This average information (e.g. number of
15 paths, Doppler estimates, etc.) can be used to match the filtering at the BS 10 so that, e.g. in rapidly fading channels, the filter is shorter, and that, in very slowly fading channels, a filter with narrower bandwidth is used. Then, the MS 20 may always transmit the feedback signals
20 (e.g. in accordance with the second example) and the actual filtering concept does not need to be known precisely by the MS 20. Possible filtering techniques include FIR, IIR or non-linear filtering operations (e.g. median filtering).

25 Furthermore, it is possible to take into account the reliability of each received feedback command (and deriving e.g. an a posteriori mean weight) in order to mitigate the effects of unreliable feedback channels.

30 In the following, further examples of the preferred embodiment are given as generalizations of the above second example. However, it is noted that the following examples may as well be advantageously implemented in the known STD and TxAA modes where only one feedback signal is used.

35

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According to a fourth example, the feedback measurements using rotated constellation (real and imaginary parts) of the second example are applied, wherein the filtering operation at the BS 10 is applied over N feedback commands
5   (or slots), rather than two. Then, the transmit weights are given by:

$$w_2(t) = \exp(i\Phi(t))$$
$$\Phi(t) = \arg(\sum_{t'=t}^{t-N+1} i^{t' mod 2} \text{sgn}(z(t')))$$

10   wherein z(t)=b(t)+n(t) denotes the received feedback signal, n(t) the noise signal at the BS 10, b(t) the feedback command received at the BS 10 for slot t (corresponding to states $\pm i^{t mod 2}$ at formal), and w2(t) denotes the complex weight applied in the diversity
15   antennas A1 and A2.

According to a fifth example of the preferred embodiment, four different constellations are provided at the MS 10, such that the channel difference deriving and quantization
20   unit 24 quantizes the complex weight (phase difference) in four successive downlink slots to $S_1=\{1, -1\}$, $S_2=\{i, -i\}$, $S_3=\{i^{1/2}, -i^{1/2}\}$ and $S_4=\{i^{-1/2}, -i^{-1/2}\}$. The BS 10 filters the constellation with a moving average filter of length N samples. When N=4, this gives rise to a time-varying 8-PSK
25   constellation. The transmit weights are given by:

$$w_2(t) = \exp(i\Phi(t))$$
$$\Phi(t) = \arg(\sum_{t'=t}^{t-N+1} i^{(t' mod 4)/2} \text{sgn}(z(t')))$$

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According to a sixth example of the preferred embodiment, a case is considered with three rotated constellations using 60 degrees resolution in terminal quantization. Here, $S_1=\{1, -1\}$, $S_2=\{i^{1/3}, -i^{1/3}\}$ and $S_3=\{i^{2/3}, -i^{2/3}\}$ and the filtered transmit weigths are given by:

$$w_2(t) = \exp(i\Phi(t))$$
$$\Phi(t) = \arg(\sum_{t'=t}^{t-2} i^{(t' \bmod 3)/3} \mathrm{sgn}(z(t')))$$

According to a seventh example of the preferred embodiment, the above three constellations according to the sixth example are used in addition with the reliability of the received symbols in defining the transmit beam, where different alternatives are possible in filtering, e.g. the function $f(x)=x$ might replace $\mathrm{sgn}(x)$, or $\tanh(x)$ might be used in the above equation defining $\Phi(x)$, and the complex weight may be quantized to four states (QPSK) after filtering. It is to be noted that, in the examples given above, a hard decision $(\mathrm{sgn}(z(t')))$ on the feedback signals is made at first, before the averaging operation is performed. This leads to a QPSK constellation although not explicitly stated. However, quantization may not be desirable, unless the number of states is to be reduced in order to apply efficient weight verification algorithms.

Of course, a quantization to any constellation (in place of QPSK) is possible in the present seventh example. When the power constraint is dispensed, a 16-state constellation can be used, for example. In these cases, weight verification is not practical, but dedicated pilots can be used in the channel estimation. Then, the weight is given e.g. by:

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$$w_2(t) = \sum_{t'=t}^{t-2} i^{(t' \bmod 3)/3} \tanh(z(t')|a|/\sigma^2)$$

wherein σ^2 denotes the variance of the channel noise in
$z(t')$, and $|a|$ denotes the amplitude of the received
5   feedback command $z(t')$.

It is noted that, in the case described above, the transmit
weight does not need to have a constant amplitude. For
example, when the channel amplitude is zero (or noise
10   variance is ∞), the amplitude of the weight will be zero,
$\tanh(z(t')|a|/\sigma^2) \to 0$, i.e. the respective antenna is
automatically switched off in cases where the feedback is
noisy. In general, the amplitude of the weight tends to be
less than one. The maximum value (Tx power) is the same as
15   for the primary (non-diversity) antenna. It is noted that
it would be also possible for the MS 20 to effectively shut
off diversity transmission or control the weights by
masking the feedback command, e.g. using a Walsh code w_k in
transmission, when the BS 10 expects $w_{k'}$, $k' \neq k$. If all
20   terminals do this, it would be beneficial to have different
users controlling different antennas to average the load to
the power amplifiers. A partially correlated mask $\underline{c}_k$ can be
used as well, when $0 \leq w_k^T \underline{c}_k \leq 1$.

25   The above beamforming concept according to the seventh
example may be applied to remove the TxAA mode 2. This
would entail the following changes to the concept. Each
feedback bit (and bit reliability) is calculated as defined
before, e.g. using the three state constellation (60
30   degrees rotation). The transmit phase for slot t is a
linear combination of the alternative weigths in a
particular window. Subsequently, the filtered state is

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quantized to the nearest constellation point that can be transmitted, which does not need to belong to those currently allowed in the TxAA mode 2. In case of the TxAA mode 1 phase resolution, the following algorithm is used:

$$w_2(t) = 8QPSK(\sum_{t'=t}^{t-3} (i^{(t' \bmod 2)/2} P(b(t') = 1|z(t')) + (-i)^{(t' \bmod 2)/2} P(b(t') = -1|z(t')))v_{t'}$$

wherein 8PSK denotes a quantization to the 8-PSK states, $v_{t'}$ denotes FIR coefficients e.g. of the moving average filter, and P(b=1|z) and P(b=-1|z) denote conditional probabilities for the cases b=1 and b=-1, respectively. These probabilities may be derived e.g. from the statistics minimizing the MSE (Mean Square Error).

With Gaussian noise n, the $\tanh(z(t')|a|/\sigma^2)$ function appears as the reliability weight. Naturally, the tanh-function may be approximated using well known techniques.

Subsequently, the following equation may be used:

$$w_2(t) = 8PSK(\sum_{t'=t}^{t-3} i^{(t' \bmod 3)/3} \tanh(z(t')|a|/\sigma^2))v_{t'} .$$

Furthermore, one additional feedback bit can be sent to designate the relative power (e.g. 0.8 or 0.2) between the weights w_1 and w_2 supplied to the antennas A1 and A2, respectively. Moreover, a verified weight verification can be used in the MS 20 and the transmit weights can be defined e.g. as in the STD mode or the TxAA mode 2. The difference is only the way the BS 10 interprets the feedback commands and into which constellation the filtered signal is quantized (e.g. QPSK in case a verification is applied, and QPSK, 8 or 16 PSK in case a dedicated pilot is used). This concept makes the system very robust. The MS 20

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does not need to know which mode is being used by the BS 10 unless it applies a verification. The Tx constellation can be signaled to the terminal using a dedicated channel, or the Tx constellation can be estimated from the received
5 signal. Furthermore, a suboptimum verification concept can be adopted which alleviates this problem as well. The mode change would be only a change of the quantization constellation at the BS 10, which is not critical from the user equipment's point of view.
10

Thus, efficient filtering techniques and a subsequent quantization based on the same or another transmit constellation can be performed. The MS 20 does not need to know which constellation is used if e.g. TxAA mode 2
15 dedicated pilots are used in the downlink direction. However, if the MS 20 derives the quantization constellation (or it is signaled thereto) it can apply a weight verification as in STD mode.

20 Additionally, a non-linear filter operation may be applied. Such a non-linear filtering may be achieved by using a trellis-based weight determination (using a known trellis), wherein the BS 20 uses a sequence of previous feedback commands (including a reliability information) and a
25 trellis-structure indicating possible transitions either in TxAA mode 1 or in the hierarchical TxAA mode 2. Then, the transmit weight can be calculated using a sequence estimator or a MAP detector, wherein the transition possibility depends on the feedback bit reliability. Thus,
30 the probabilities $P(b=1|z)$ and $P(b=-1|z)$ described in the above equation and the weight trellis structure may be used to aid the non-linear weight determination.

It is to be noted that the above described units of the
35 block diagram shown in Fig. 5 may as well be established as

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software features of a control program controlling a
microprocessor such as a CPU provided in the BS 10 and the
MS 20.

5   Furthermore, any kind of signal set partitioning (e.g. for
trellis codes) may be used to improve the performance.
Furthermore, the different feedback signal constellations
may be dependent by using a progressive signaling. For
example, a first time slot or subchannel can be used for
10   feeding back an information indicating a quadrant in a 4-
PSK constellation with higher reliability, and a subsequent
second time slot or subchannel can be used for feeding back
an information determining the constellation within this   -
quadrant. The feedback information of the second subchannel
15   may be based on a differential change, a Gray-encoded sub-
quadrant, or any combination thereof. Here, the transmit
weights can be changed as soon as the feedback bits
specifying the quadrant have arrived at the BS 10, and the
refined subquadrant can be adjusted thereafter based on the
20   most recent channel estimate, which was not available when
the quadrant index was transmitted (e.g. using Gray
encoding). Thereby, additional delay caused in the current
concept by waiting for the receipt of all feedback bits can
be prevented. Furthermore, abrupt changes (180 degree in
25   case of one bit feedback, 90 degrees in case of two bit
feedback, and so on), as in the current concepts, which
cannot be followed by the MS 20 estimating the dedicated
channel parameters do not occur. Hence, applying the
feedback information incrementally not only reduces delay,
30   but also enables more efficient channel estimation and
receiver performance. The feedback information may also
refer to the phase difference of successive slots.

As an example, a 3-bit Gray code can be used in the TxAA
35   mode 2 to indicate the phase states of the transmit weight.

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Thus, successive states are coded 000 (state 1), 001 (state 2), 011 (state 3), 010 (state 4), 110 (state 5), 111 (state 6), 101 (state 7), and 100 (state 8). Accordingly, regarding the first bit of the above coding, the following

5 possible transitions can be defined in the trellis structure: state 1 → state 1 or 8, state 2 → state 2 or 7, state 3 → state 3 or 6, state 4 → state 4 or 5, state 5 → state 5 or 4, state 6 → state 3 or 6, state 7 → state 7 or 2, and state 8 → state 8 or 1. Similarly, possible

10 transitions can be obtained for bits 2 and 3. This transition information can then be used in the estimation of the transmit weights with increased reliability.

The present invention is not limited to two antennas A1 and

15 A2, but can be applied to any multi-antenna transmitter in order to provide a higher resolution feedback. Moreover, as already mentioned, any kind of multiplex scheme can be used, provided the BS 10 is arranged to correspondingly filter or select the feedback information.

20

Moreover, the present invention may be applied to any wireless communication system comprising a transmit diversity or transmit beamforming concept used between a transmitting element and at least one receiver. Therefore,

25 the above description of the preferred embodiment and the accompanying drawings are only intended to illustrate the present invention. The preferred embodiment of the invention may vary within the scope of the attached claims.

30 In summary, the invention relates to a transmit diversity method for a wireless communication system comprising a transmitting element and at least one receiver, wherein a transmission signal is transmitted from the transmitting element to the at least one receiver in accordance with a

35 weight information determined in response to a feedback

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information. The feedback information is derived from the response at the at least one receiver to the transmission signal, and is fed back using multiplexed feedback signals. Alternatively, the weight information may be determined at

5   the transmitting element by filtering said feedback information and quantizing the filtered feedback information to a desired quantization constellation. Thus, multiple quantization constellations and combinations thereof and/or constellation specific feedback subchannels

10 can be used for channel probing, such that the total feedback resolution can be enhanced, while maintaining low signaling capacity of the feedback channel.

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Claims

1.   A transmit diversity method for a wireless
communication system comprising a transmitting element and
5   at least one receiver, said method comprising the steps of:
a)   transmitting from said transmitting element to said at
least one receiver a transmission signal in accordance with
a weight information determined in response to a feedback
information;
10   b)   deriving said feedback information from the response
at said at least one receiver to said transmission signal;
c)   feeding back said feedback information using
multiplexed feedback signals.

15   2.   A method according to claim 1, wherein said
multiplexed feedback signals comprises at least a first
feedback signal having a first quantization constellation
and a second feedback signal having a second quantization
constellation.
20

3.   A method according to claim 2, wherein said at least
first and second feedback signals are transmitted in
different time slots.

25   4.   A method according to claim 2 or 3, wherein said first
and second feedback signals are transmitted using different
codes.

5.   A method according to anyone of claims 2 to 4, wherein
30   said first feedback signal defines a first weight
determined on the basis of a channel estimate quantized to
said first constellation, and said second feedback signal
defines a second weight determined on the basis of a
channel estimate quantized to said second constellation.

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6.   A method according to claim 5, wherein said second constellation is a rotated copy of said first constellation.

5   7.   A method according to claim 5, wherein said second feedback signal is based on a rotated channel estimate quantized to said first constellation.

8.   A method according to claims 2 or 3, wherein said
10   first and second feedback signals are fed back in successive time slots.

9.   A method according to anyone of claims 2, 3 or 8, wherein said first feedback signal defines a real part of
15   said weight information, and said second feedback signal defines an imaginary part of said weight information.

10.   A method according to anyone of claims 2, 3 or 8, wherein said first feedback signal defines a first feedback
20   information to be used for updating a first beam of said transmitting element, and said second feedback signal defines a second feedback information to be used for updating a second beam of said transmitting element.

25   11.   A method according to claim 9 or 10, wherein said first feedback signal is fed back during odd time slots, and said second feedback signal is fed back during even time slots.

30   12.   A method according to anyone of claims 2, 3 or 8, wherein said first feedback signal defines a quadrant in a 4-PSK constellation, and said second feedback signal defines a constellation point within said quadrant defined by said first feedback signal.

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13. A method according to claim 12, wherein said second feedback signal defines a differential change, a Gray-encoded sub-quadrant, or a combination thereof.

5 14. A method according to claim 1, wherein said multiplexed feedback signals are transmitted by at least two users having different signal constellations.

15. A method according to claim 14, wherein said at least 10 two users comprise a first set of users controlling weights at a first antenna of said transmitting element, and a second set of users controlling weights at a second antenna of said transmitting element.

15 16. A method according to claim 1, wherein said feedback information is used for controlling a transmit weight of one of two antennas.

17. A method according to claim 16, wherein said feedback 20 information contains a first information on the transmission power of said two antennas and a second information on the phase of said two antennas.

18. A method according to claim 17, wherein said first 25 information or said second information or both are separately filtered at said transmitting element.

19. A method according to claim 1, wherein said feedback information is used for controlling transmit weights of two 30 antennas.

20. A method according to claim 19, wherein control commands for controlling said two antennas are transmitted alternately to said transmitting element.

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21. A method accoring to claim 1, wherein said
transmitting element comprises an antenna array.

22. A method according to claim 21, wherein said feedback
5   information is used for controlling the direction of
transmission of said antenna array.

23. A method according to claim 22, wherein the direction
of transmission is derived from at least one feedback
10   signal.

24. A method according to claim 23, wherein the direction
of transmission is derived from a phase estimate of at
least one extracted feedback signal.

15

25. A method according to claim 1, wherein said weight
information and/or a direction of transmission are
determined on the basis of a feedback signal filtering
operation.

20

26. A method according to claim 25, wherein said weight
information is determined by quantizing the filtered
feedback information to a desired quantization
constellation.

25

27. A method according to claim 26, wherein said desired
constellation depends on an amplifier loading at said
transmitting element.

30   28. A method according to claim 26, wherein said filtered
feedback information comprises four constellation points
and said quantization constellation comprises eight or
sixteen constellation points.

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29. A method according to any one of claims 25 to 28, wherein the feedback signal filtering operation is performed by a filter of a length of N samples, wherein N is larger than the number of said multiplexed feedback

5   signals.

30. A method according to claim 25, wherein said filtering operation comprises a robust filtering, an FIR filtering, an IIR filtering, a linear filtering, a non-linear

10 filtering, or a smoothing and prediction.

31. A method according to anyone of the preceding claims, wherein a reliability of said multiplexed feedback signals is used for weight determination.

15

32. A method according to claim 25, wherein a transmission filtering is adapted to a transmission channel characteristic and changed dynamically.

20 33. A method according to claim 25, wherein the filter characteristic of said filtering operation is controlled based on a signaling between said transmitting element and said at least one receiver.

25 34. A transmit diversity method for a wireless communication system comprising a transmitting element and at least one receiver, said method comprising the steps of:
a)   transmitting from said transmitting element to said at least one receiver a transmission signal in accordance with
30 a weight information determined in response to a feedback information;
b)   deriving said feedback information from the response at said at least one receiver to said transmission signal;
c)   feeding back said feedback information to said
35 transmitting element; and

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d)   determining said weight information by filtering said
feedback information and quantizing the filtered feedback
information to a desired quantization constellation.

5   35.   A method according to claim 34, wherein the
quantization constellation at said at least one receiver
has fewer states than that at said transmitting element.

36.   A method according to claim 35, wherein said feedback
10   information comprises four constellation points and said
quantization constellation comprises eight or sixteen
constellation points.

37.   A method according to any one of claims 34 to 36,
15   wherein the feedback signal filtering operation is
performed by a moving average filter.

38. A method according to any one of claims 34 to 37,
wherein the feedback signal filtering operation is applied
20   to a sequence of previous feedback signals, and the weight
information is calculated by an estimation using a trellis
structure.

39.   A method according to claim 38, wherein said
25   estimation is performed by a using a sequence estimator or
MAP detector.

40.   A transmit diversity system for a wireless
communication system, comprising:
30   a)   transmitting means **(10)** for transmitting a
transmission signal from a transmitting element **(A1, A2)** in
accordance to with a weight information determined in
response to a feedback information; and

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b)   at least one receiver **(20)** for receiving said
transmission signal and deriving said feedback information
from the response to said transmission signal;

c)   wherein said at least one receiver **(20)** comprises
5   feedback means **(24, 25)** for feeding back said feedback
information using multiplexed feedback signals.

41. A system according to claim 40, wherein said feedback
means **(24, 25)** is arranged to generate a first feedback
10   signal having a first constellation and a second feedback
signal having a second constellation.

42. A system according to claim 40, wherein said first
feedback signal defines a first phase weight determined on
15   the basis of a channel estimate, and said second feedback
signal defines a second phase weight determined on the
basis of a rotated constellation of said first feedback
signal.

20   43. A system according to claim 40, wherein said first
feedback signal defines a real part of said weight
information, and said second feedback signal defines an
imaginary part of said weight information.

25   44. A system according to claim 40, wherein said first
feedback signal defines a first feedback information to be
used by said transmitting means **(10)** for updating a first
beam of said transmitting element **(A1, A2)**, and said second
feedback signal defines a second feedback information to be
30   used by said transmitting means **(10)** for updating a second
beam of said transmitting element **(A1, A2)**.

45. A system according to claim 43 or 44, wherein said
feedback means **(24, 25)** is arranged to feed back said first

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feedback signal during odd time slots and said second
feedback signal during even time slots.

46.   A transmitter for a wireless communication system,
5   comprising:
a)   extracting means **(12)** for extracting a feedback
information from a received signal;
b)   transmitting means **(11)** for transmitting a
transmission signal from a transmitting element **(A1, A2)** in
10   accordance with a weight information;
c)   determining means **(14)** for determining said weight
information in response to said extracted feedback
information; and
d)   control means **(13, 15)** for controlling said
15   determining means **(14)** so as to determine said weight
information in accordance with multiplexed feedback signals
used for feeding back said feedback information.

47.   A transmitter according to claim 46, wherein said
20   control means **(13, 15)** comprises a switching means **(13)** for
alternately switching a first feedback signal having a
first constellation and a second feedback signal having a
second constellation to said determining means **(14)**.

25   48.   A transmitter according to claim 47, wherein said
determining means **(14)** is arranged to derive said weight
information from said first and second feedback signals.

49.   A transmitter according to claim 47, wherein said
30   control means **(13, 15)** is arranged to control said
transmitting means **(11)** so as to alternately update a first
beam of said transmitting element **(A1, A2)** by using a first
weight information determined on the basis of said first
feedback signal, and a second beam of said transmitting

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element **(A1, A2)** by using a second weight information determined on the basis of said second feedback signal.

50. A transmitter according to anyone of claims 46 to 49, wherein said transmitting element is an antenna array **(A1, A2)**.

51. A transmitter according to claim 46, wherein said control means **(13)** is arranged to perform a feedback signal filtering operation.

52. A transmitter according to claim 51, wherein said control means **(13, 15)** is arranged to quantize the filtered feedback information to a desired quantization constellation.

53. A transmitter according to claim 51 or 52, wherein said control means **(13, 15)** comprises a moving average filter for performing the feedback signal filtering operation.

54. A transmitter according to claim 51, wherein said filtering operation comprises a robust filtering, an FIR filtering, an IIR filtering, a linear filtering, a non-linear filtering, or a smoothing and prediction.

55. A transmitter for a wireless communication system, comprising:
a)   extracting means **(12)** for extracting a feedback information from a received signal;
b)   transmitting means **(11)** for transmitting a transmission signal from a transmitting element **(A1, A2)** in accordance with a weight information;

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c)   determining means **(14)** for determining said weight information in response to said extracted feedback information; and

d)   control means **(13, 15)** for filtering said extracted

5   feedback information, and for quantizing the filtered feedback information to a desired quantization constellation.

56.   A transmitter according to claim 55, wherein said

10   control means **(13, 15)** comprises a moving average filter for performing the feedback signal filtering operation.

57.   A receiver for a wireless communication system, comprising:

15   a) receiving means **(21)** for receiving a transmission signal;

b) deriving means **(22, 23, 24)** for deriving a feedback information from the response to said transmission signal; and

20   c) feedback means **(24, 25)** for feeding back said feedback information using multiplexed feedback signals.

58.   A receiver according to claim 57, wherein said deriving means **(22, 23, 24)** comprises extracting means **(22)**

25   for extracting a probing signal transmitted with a known power, channel estimation means **(23)** for performing a channel estimation on the basis of said extracted probing signal, and generating means **(24)** for generating said multiplexed feedback signals on the basis of said channel

30   estimation.

59.   A receiver according to claim 58, wherein said generating means **(24)** is arranged to generate a first feedback signal having a first constellation and a second

35   feedback signal having a second constellation, wherein said

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feedback means **(24, 25)** is arranged to feed back said first
and second feedback signals as said multiplexed feedback
signals.

5   60. A receiver according to claim 59, wherein said
feedback means **(24, 25)** is arranged to alternately feed
back said first and second feedback signals, wherein a
quantization of the feedback information is based on the
latest channel estimate and an available one of said first
10   and second constellation.

61. A receiver according to claim 59, wherein said
generating means **(24)** is arranged to generate said first
feedback signal based on said channel estimation and said
15   second feedback signal based on a rotation of said channel
estimation by a predetermined angle.

62. A receiver according into claim 59, wherein said
generating means **(24)** is arranged to generate said first
20   feedback signal based on a real part of said feedback
information, and said second feedback signal based on an
imaginary part of said feedback information.

63. A receiver according to claim 59, wherein said
25   extracting means **(22)** is arranged to alternately extract a
probing signal corresponding to a first beam and a probing
signal corresponding to a second beam, and said generating
means **(24)** is arranged to alternately generate said first
feedback signal based on a channel estimate for said first
30   beam, and said second feedback signal based on a channel
estimate for said second beam.

A1

transmission 1

BS

feedback signal

A2

MS

20

transmission 2

10
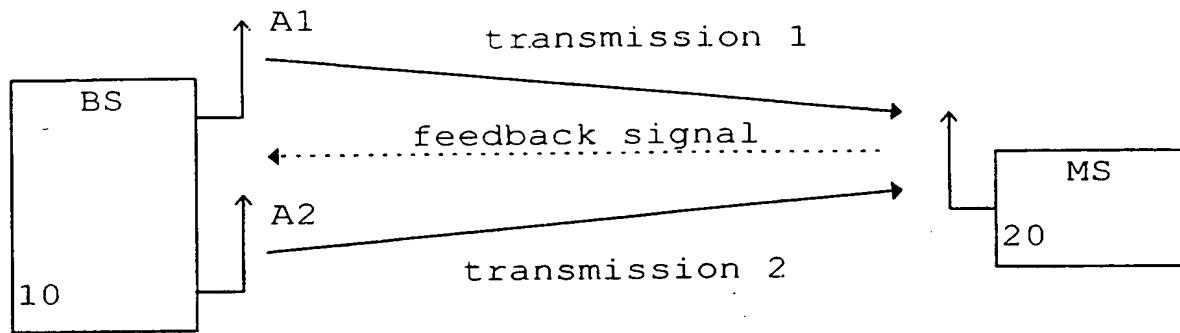
Fig. 1

mode	N_{FB}	N_W	feedback bit rate	Na	Np
STD	1	1	1500bps	1	0
TxAA mode 1	1	2	1500bps	0	2
TxAA mode 2	1	4	1500bps	1	3

Fig. 2

feedback value	P_{A1}	P_{A2}
0	0	1
1	1	0

feedback value	phase diff.
0 0	180°
0 1	-90°
1 1	0°
1 0	90°

Fig. 3A

Fig. 3B

ampl. bit	P_{A1}	P_{A2}
0	0.2	0.8
1	0.8	0.2

phase bits	phase diff.
0 0 0	180°
0 0 1	-135°
0 1 1	-90°
0 1 0	-45°
1 1 0	0°
1 1 1	45°
1 0 1	90°
1 0 0	135°

Fig. 3C

phase bits (slots S1)	phase difference
0 0	180°
0 1	-90°
1 1	0°
1 0	90°

phase bits (slots S2)	phase difference
0 0	-135°
0 1	-45°
1 1	45°
1 0	135°

Fig. 4
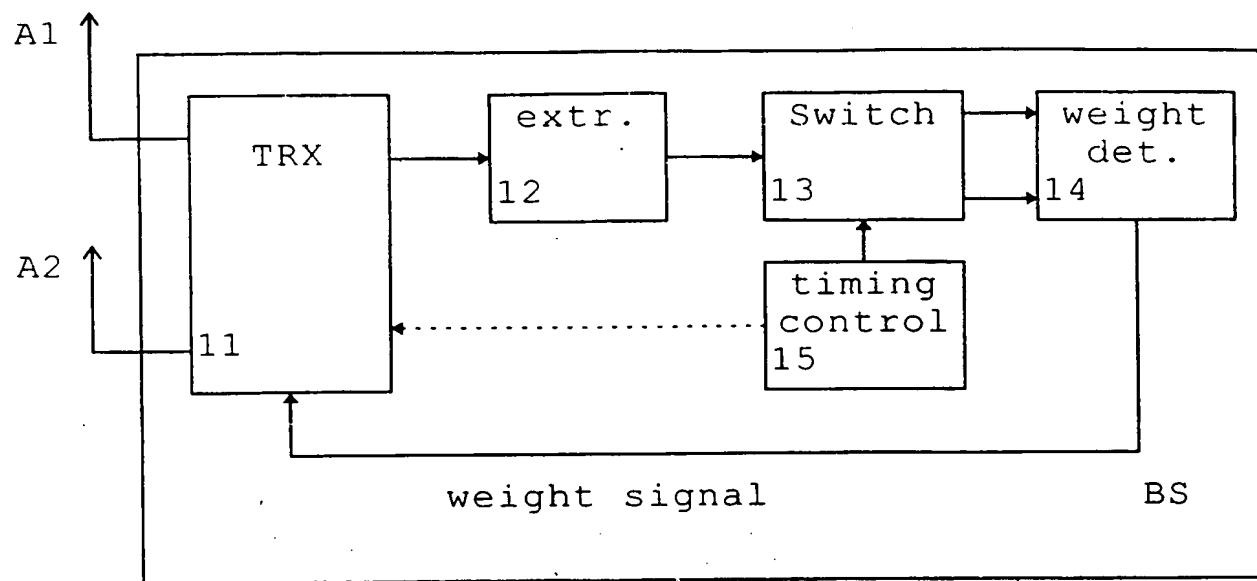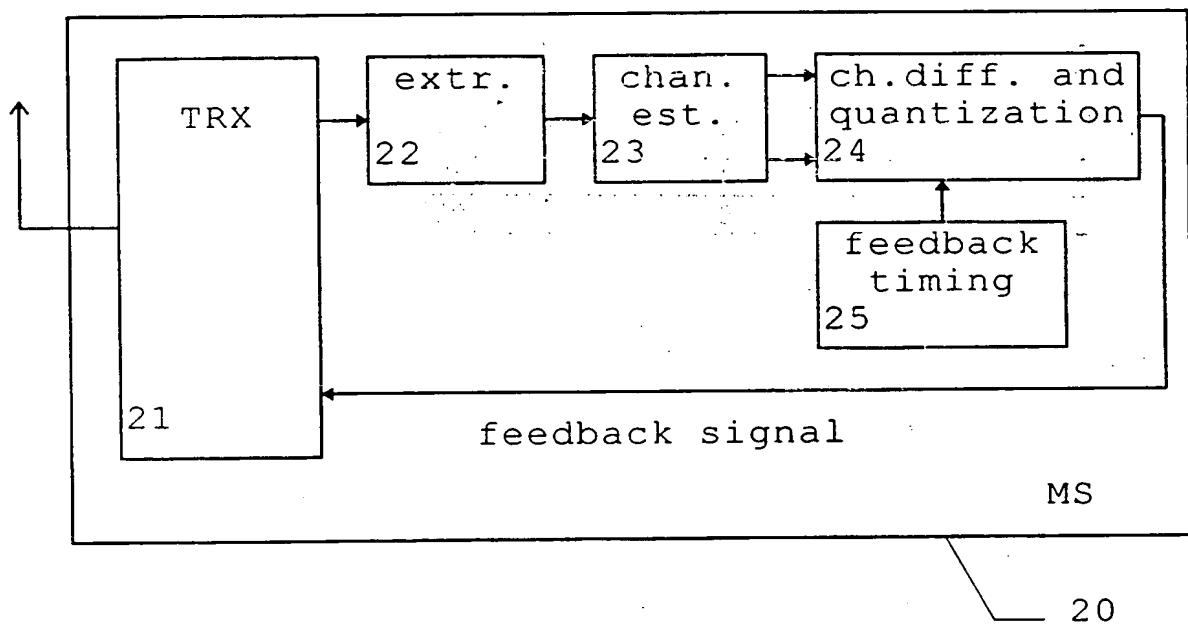
Fig. 5
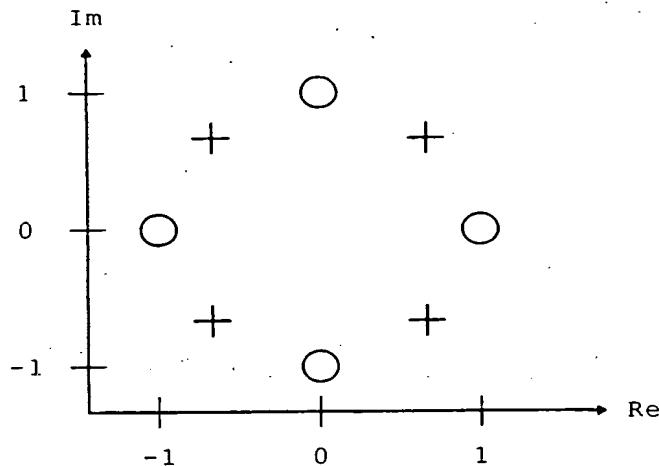
Fig. 6

phase bit (S_{odd})	phase difference
0	180°
1	0°

phase bit (S_{even})	phase difference
0	-90°
1	+90°

Fig. 7
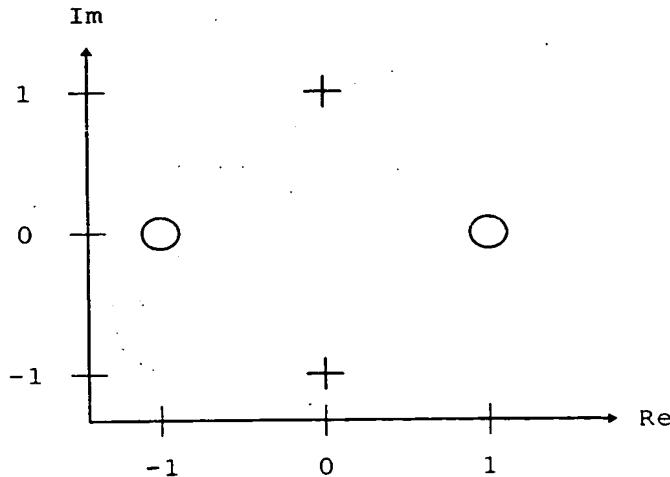
Fig. 8

INTERNATIONAL SEARCH REPORT

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7   H04B7/06   H04L5/12   H04L1/16

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7   H04B   H04L   H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 755 127 A (NIPPON ELECTRIC CO) 22 January 1997 (1997-01-22) column 3, line 18-39 claims 1-5 figures 1,2	1,34,40, 46,55,57

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[X] Further documents are listed in the continuation of box C.   [X] Patent family members are listed in annex.

° Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

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"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

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"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
24 May 2000	08/06/2000

Name and mailing address of the ISA	Authorized officer
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page 1 of 2

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication,where appropriate, of the relevant passages	Relevant to claim No.
A	H. ANDOH; M. SAWAHASHI; F. ADACHI: "Channel Estimation Using Time Multiplexed Pilot Symbols for Coherent Rake Combining for DS—CDMA Mobile Radio" PERSONAL, INDOOR AND MOBILE RADIO COMMUNICATIONS, 8TH IEEE INTL SYMPOSIUM, 'Online! vol. 3, 1 - 4 September 1997, pages 954-958, XP002128851 Retrieved from the Internet: <URL:http://iel.ihs.com> 'retrieved on 2000-01-26! page 954, column 1, paragraph 1 -page 955, column 1, paragraph 1 figures 1,2	1,34,40, 46,55,57
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A	HOTTINEN, A.; WICHMAN, R.: "Transmit diversity by antenna selection in CDMA downlink" 1998 IEEE 5TH INTERNATIONAL SYMPOSIUM ON SPREAD SPECTRUM TECHNIQUES AND APPLICATIONS, 1998., 'Online! vol. 3, 2 - 4 September 1998, pages 767-770, XP002138561 Retrieved from the Internet: <URL:http://iel.ihs.com> 'retrieved on 2000-05-24! the whole document	1,34,40, 46,55,57

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